

JC20 Rec'd PCT/PTO 29 APR 2005

Atty. Docket No. M11-171

U.S. APPLICATION NO. (If known, see
37 CFR 1.5)

10/533372

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		
INTERNATIONAL APPLICATION NO. PCT/US2003/034607	INTERNATIONAL FILING DATE October 30, 2003	PRIORITY DATE CLAIMED October 30, 2002

TITLE OF INVENTION
WAVELENGTH INSENSITIVE INTEGRATED OPTIC POLARIZATION SPLITTER

APPLICANT(S) FOR DO/EO/US
WATTS, Michael R.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)).
4. The US has been elected (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). (Not applicable – application was written and filed in English).
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(C)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 To 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98, including Form PTO-1449 and copies of references B1-B4 and C1-C2.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment (6 pgs)
14. Repln. Ref: 07/25/2005 PBOOKER 0016111400
DAF:071700 Application Date/Number:10533372 CFR 1.76
FC: 9204 \$300.00 CR
15. A substitute specification.
16. A Copy of Revocation of Prior Powers and Power of Attorney By Applicant; and change of address letter, as filed on 04/08/05.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.
18. A copy of the published international application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translated of the international application under 35 U.S.C. 154(d)(4).
20. Other items or information:
Return receipt postcard

10533372

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21. The following fees are submitted:

CALCULATIONS

PTO USE ONLY

<input checked="" type="checkbox"/> a) Basic national fee.....	\$300.00
<input checked="" type="checkbox"/> b) Examination fee.....	\$200.00
<input checked="" type="checkbox"/> c) Search fee	\$500.00
<input type="checkbox"/> d) Size fee (for each additional 50 sheets over 100 sheets)	\$250.00

ENTER APPROPRIATE FEE AMOUNT =**\$ 1000.00**

Surcharge of \$130.00 for furnishing the oath or declaration of later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	13 - 20 =	0	X \$50.00	\$ 0.00
Independent claims	3 - 3 =	0	X \$200.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ 0.00

TOTAL OF ABOVE CALCULATIONS =**\$ 1000.00** Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.**\$****SUBTOTAL =****\$ 1000.00**

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$**TOTAL NATIONAL FEE =****\$ 1000.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$ 0.00**TOTAL FEES ENCLOSED =****\$ 1000.00**

Amount to be:	\$
refunded	
charged	\$

a. A check in the amount of \$1000.00 to cover the above fees is enclosed.b. Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.c. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 07-1700.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Repln. Ref: 07/25/2005 PBOOKER 0016111400
 Patent Admin/FC: 071700 Name/Number:10533372
 Goodwin Procter LLP FC: 9204 \$300.00 CR
 Exchange Place
 Boston, MA 02109
 Customer No. 051414

SIGNATURE

Repln. Ref: 07/22/2005 PBOOKER 0013351000
 DAH:071700 Name/Number:10533372
 Natasha C. Us FC: 9204 \$100.00 CR

NAME

44,381

REGISTRATION NUMBER

4-29-2005

DATE